## IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF PENNSYLVANIA

UNITED STATES OF AMERICA : CRIMINAL ACTION NO. 11-582-1

Philadelphia, PennsylvaniaMarch 15, 2012 V.

: 3:09 o'clock p.m. MICHAEL PATTERSON,

Defendant

HEARING

BEFORE THE HONORABLE TIMOTHY R. RICE UNITED STATES MAGISTRATE JUDGE

**APPEARANCES:** 

For the Government: ASHLEY LUNKENHEIMER, ESQUIRE

615 Chestnut Street

Suite 1250

Philadelphia, PA 19106

For the Defendant: THOMAS A. DRYER, ESQUIRE

6 Dickinson Drive

Building #100, Suite 106 Chadds Ford, PA 19317

Also Present: Charles Meissler

Pre-Trial Services

Audio Operator/ESR: Christina Franzese

Transcribed by: Paula L. Curran, CET

(Proceeding recorded by The Record Player digital sound recording; transcript produced by AAERT-certified transcriber.)

> Laws Transcription Service 48 W. LaCrosse Avenue Lansdowne, PA 19050 (610)623-4178

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              (The following occurred in open court at 3:09
 2
     o'clock p.m.)
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              MR. DREYER: Good afternoon, your Honor.
 4
              THE COURT: Good afternoon, Mr. Dreyer and Mr.
 5
     Patterson, how are you today?
 6
              THE DEFENDANT: Good, sir.
 7
              THE COURT: You may be seated. It's my
 8
     understanding that we're here for a guilty plea, is that
 9
     correct?
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              MR. DREYER: Yes, your Honor.
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              THE COURT: All right, let me just do this, ask a
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     few questions of your client before we get started.
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              Mr. Patterson, do you understand that I'm a
14
     Magistrate Judge and you have a right to have your case heard
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    by a District Court Judge?
              THE DEFENDANT: Yes, sir.
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              THE COURT: Do you know the difference?
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              THE DEFENDANT: Yes, sir.
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              THE COURT: Okay, so you're agreeable to having me
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     handle your case?
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              THE DEFENDANT: Yes, sir.
              THE COURT: Okay, you don't have to do that if you
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23
    don't want to.
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              THE DEFENDANT: Yes, I know, mm-hmm.
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              THE COURT: Okay, all right, very well. Christine,
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     could you swear Mr. Patterson, please.
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              COURT CLERK: Please state your full name for the
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     record.
 4
              THE DEFENDANT: Michael Patterson.
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              THE DEPUTY CLERK: Please spell your last name.
              THE DEFENDANT: P-A-T-T-E-R-S-O-N.
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 7
              MICHAEL PATTERSON, Defendant, Sworn.
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              THE COURT: Okay, you can be seated, sir. Sir, I'm
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     going to ask you some questions and you're under oath, so you
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     understand that if you answer any questions falsely, that
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     your answer can later be used against you in a prosecution
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     for perjury or making a false statement?
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              THE DEFENDANT: Yes, sir.
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              THE COURT: All right. If I say anything that you
     don't understand or you want me to re-explain to you or you
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     want to speak to Mr. Dreyer, just tell me and I'll allow you
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     to do that, all right? And you can talk to Mr. Dreyer, at
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     any time, about any of the questions I ask you, if you want.
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              THE DEFENDANT: Yes, sir.
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              THE COURT: Okay. Could you state your full name,
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    please?
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              THE DEFENDANT: Michael Patterson.
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              THE COURT: Okay and how old are you, sir?
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              THE DEFENDANT:
                              I'm 54.
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              THE COURT: All right and the reason I'm asking you
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     these questions is I have to determine that you're entering
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     into this plea knowing and voluntary and that you're aware of
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     going on here today.
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              THE DEFENDANT: Yes, sir.
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              THE COURT: So I know some of these may seem obvious
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    and I apologize.
 7
              THE DEFENDANT: No, that's okay.
 8
              THE COURT: Okay. Can you read and write and --
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              THE DEFENDANT: Yes, sir.
10
              THE COURT: -- I know you can speak English?
11
              THE DEFENDANT: Yes, sir.
              THE COURT: All right and how far did you go in
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13
     school?
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              THE DEFENDANT: Pardon?
              THE COURT: How far did you go in school?
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16
              THE DEFENDANT: Graduated 12th grade.
17
              THE COURT: 12th grade, okay, excellent. Have you
     ever had or been treated for a drug or alcohol addiction or
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19
    mental disease, mental illness?
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              THE DEFENDANT: I recently completed intensive
21
     out-patient therapy.
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              THE COURT: Okay and when did you complete that?
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              THE DEFENDANT: My last session was yesterday.
24
              THE COURT: Okay.
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              THE DEFENDANT: Yes.
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              THE COURT: And that's going well?
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              THE DEFENDANT: Yes, sir.
              THE COURT: Okay, have you had any alcohol or drugs
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 4
     either today or yesterday?
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              THE DEFENDANT: No, sir.
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              THE COURT: All right, when was the last time you
 7
    had any alcohol or drugs?
              THE DEFENDANT: I would say the last time I had
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 9
     alcohol was probably -- I couldn't tell you exact, I would
10
     say about four months ago.
11
              THE COURT: Okay.
              THE DEFENDANT: Four or five months ago.
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              THE COURT: And how about narcotics?
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              THE DEFENDANT: None, none at all. Only blood
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     pressure medicine, Crestor, things that are prescribed by my
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     doctor.
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              THE COURT: Okay, are you feeling fine today,
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     though?
              THE DEFENDANT: Oh, yes, sir.
19
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              THE COURT: Okay, very good and can you hear and
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     understand me all right?
22
              THE DEFENDANT: Yes, sir.
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              THE COURT: All right. Now, has Mr. Dreyer gone
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     over the charges against you and fully explained your trial
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     rights and the defenses you could bring to those charges?
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             THE DEFENDANT: Yes, he has.
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             THE COURT: All right and I noticed that you've
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     agreed to a plea agreement with the Government. Now, the
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     copy I have doesn't have Mr. Patterson's signature on it. Do
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     you have a signed copy?
 6
             MR. DREYER: I have the original here, your Honor.
 7
              THE COURT: Okay, could you just verify for me, Mr.
 8
     Patterson, that you signed the original?
 9
              THE DEFENDANT: Yeah, yes, I did, sir.
10
              THE COURT: Okay. We can file that after court, you
11
     can keep it, Mr. Dreyer, for now. Did Mr. Dreyer fully
12
     explain to you the written plea agreement?
13
             THE DEFENDANT: Yes, sir.
14
             THE COURT: Did he go over all the terms with you?
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             THE DEFENDANT: Yes, sir.
              THE COURT: Okay, do you feel as though you've had
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17
     enough time to talk this over with him?
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              THE DEFENDANT: I believe so, yes, sir.
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             THE COURT: All right, has Mr. Dreyer done
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     everything for you that you wanted?
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              THE DEFENDANT: Yes, he has, your Honor.
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              THE COURT: All right. Now, Ms. Lunkenheimer, could
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     you summarize the terms of the plea agreement that you've
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     entered into with Mr. Patterson?
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             MS. LUNKENHEIMER: Yes, your Honor. As the Court, I
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maximum of three-years imprisonment, a maximum of one year

supervised release, a \$3,000 fine and a \$300 special assessment.

The defendant also needs to understand and the plea agreement makes clear that if supervised release is imposed on him and he were to violate that, he could spend additional time in prison for that violation.

We have certain -- there's a new provision in our plea agreement related to financial statements and we are asking that the defendant complete and submit to the U.S. Attorney's Office information about his finances. Those are helpful to determining any restitution and forfeiture or other things. There is no forfeiture charged in this case, but restitution and the appropriate fine.

We have come to certain stipulations and the plea agreement expressly provides that those stipulations are -- we all recognize are not binding either on the probation department or on the Court. But I do want to list them for the Court today.

The first stipulation is that the parties agree and stipulate that for the offenses of conviction charged in the information, the defendant attempted to possess 13 Oxycontin 40 milligram tablets and one Oxycontin 80 milligram tablet, each of which is a mixture and substance containing a detectable amount of Oxycodone and nine Actiq 1200 micrograms lollipops, each of which is a mixture and substance

containing a detectable amount of Fentanyl.

The parties have also agreed and stipulated that the defendant has demonstrated acceptance of responsibility for this offense, making him eligible for a two-level downward adjustment under the sentencing guidelines.

The third point is not applicable here because by any calculation of the initial base offense level, it does not appear that he's going to reach a Level 16 and he would have to do so in order to have a third point for acceptance to be eligible.

THE COURT: Now, why is the -- just the drug amounts in there, do you have a dispute over the offense level?

MS. LUNKENHEIMER: No, your Honor, they're in there. That's the way we've been working on these. It's just to acknowledge what he did. That way, there's no issue at sentencing if we summarize that it was both Actiq and Oxycodone. There's not actually a purpose, as you're correct. I think what you're implying, which we all are aware, is that the guidelines actually prescribe a specific based offense level for simple possession.

THE COURT: Okay.

MS. LUNKENHEIMER: And so that is not adjusted by those amounts.

THE COURT: Okay.

MS. LUNKENHEIMER: And we are aware of that.

THE COURT: Okay.

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MS. LUNKENHEIMER: Would you like me to review the appellate waiver then?

THE COURT: Sure.

MS. LUNKENHEIMER: There is an appellate waiver in this plea agreement and for the most part, the defendant needs to understand and I'm sure his counsel has explained to him that he has basically severely limited his ability to both directly appeal and collaterally appeal his conviction or sentence or any other matter related to this prosecution. There are exceptions to that, as there are to any rule, if the Government were to file an appeal, the defendant then can appeal. The defendant can also appeal even if the Government does not file an appeal, if any sentence on any count of conviction exceeds the statutory maximum for that count, which I have just described, the sentencing judge erroneously departs upward pursuant to the sentencing guidelines or the sentencing judge, exercising the Court's discretion pursuant to the case, United States v. Booker, imposes an unreasonable sentence.

If the defendant does appeal pursuant to this plea agreement, he cannot add any other issues and that he has to strictly limit those to those issues.

I believe that Mr. Dreyer is retained, so if there is a <a href="Hyde">Hyde</a> amendment attorney's fees waiver in this case.

There is a FOIA waiver in this plea agreement and most important in a way to all of us, is that I would like to read specifically, if the Court would indulge me, the last paragraph of the plea agreement.

And that is "that it is agreed that the parties' guilty plea agreement contains no additional promises, agreements or understandings other than those set forth in this written plea agreement and that no additional promises, agreements or understandings will be entered into unless in writing and signed by all of the parties." And I say that in order to indicate that this is it, what I've read and summarized here and what is contained in this agreement, is the only agreement we have with this defendant.

THE COURT: Mr. Patterson, is that your understanding of the terms of the plea agreement?

THE DEFENDANT: Yes, your Honor.

THE COURT: All right, thank you. Mr. Dreyer, any other terms that Ms. Lunkenheimer omitted?

MR. DREYER: No, your Honor.

THE COURT: Okay, thank you. Other than these terms, Mr. Patterson, did anyone promise or offer you anything else to get you to plead guilty?

THE DEFENDANT: No, sir.

THE COURT: All right, do you understand that no one can guarantee you what sentence you will receive from me?

12 THE DEFENDANT: I understand. 1 2 THE COURT: All right, did anyone use force, 3 violence or threats to get you to plead guilty? 4 THE DEFENDANT: No, sir. 5 THE COURT: Are you pleading quilty because of your 6 own free will? 7 THE DEFENDANT: Yes, sir. 8 THE COURT: All right. You're a United States 9 citizen, I take it, correct? 10 THE DEFENDANT: Yes, sir. 11 THE COURT: All right. And you understand that the offense is covered by the sentencing guidelines and I'm 12 13 required to consider those guidelines in sentencing you, but 14 that I may depart either upward or downward under certain 15 circumstances? 16 THE DEFENDANT: Yes, sir. 17 THE COURT: All right and that a probation officer 18 is going to prepare a pre-sentence report which will set 19 forth your, what's called sentencing guideline range and that 20 will result in a range of potential imprisonment possibly. 21 And if you disagree with that report, the guilty plea that 22 you entered today is still going to be binding on you? 23 THE DEFENDANT: Yes, sir. 24 THE COURT: All right, now you can contest and 25 object to the report that the officer submits, if necessary

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13 and the Government can do so. But my rulings on that would be binding and will affect what the sentence would be. Do you understand that? THE DEFENDANT: Yes, sir. THE COURT: Okay. And you understand that the drug amounts and the type of drugs involved can have a significant effect on the sentencing guideline level? Do you understand that? THE DEFENDANT: Yes, sir. THE COURT: And there are circumstances -- is there any circumstances where he could be held accountable for the drug amounts of other people? MS. LUNKENHEIMER: No, your Honor, he is not charged as being in a conspiracy. THE COURT: Okay, okay. Do you understand that your attorney and the Government can agree on facts and make recommendations, motions or requests at sentencing, but that I don't have to do what they jointly agree should be done? THE DEFENDANT: Yes, your Honor. THE COURT: All right. And if I disagree with what your lawyer and the Government want to happen, your plea is still binding on you, even if I disagree with their facts, recommendations or motions?

THE DEFENDANT: Yes, sir.

THE COURT: Okay. Do you understand that there's no

THE COURT: Do you understand that you have a right

to the assistance of a lawyer at every stage of the

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    proceeding, including trial, during trial and after trial and
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     in any appeal?
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              THE DEFENDANT: Yes, sir.
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              THE COURT: By pleading guilty, you're waiving that
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    because you're not going to be going to trial?
              THE DEFENDANT: I understand.
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 7
              THE COURT: All right. Do you understand you have a
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     right to plead not quilty and persist in that plea and have
 9
     your case tried by a jury?
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              THE DEFENDANT: Yes, sir.
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              THE COURT: You are giving that right up?
              THE DEFENDANT: Yes, sir.
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              THE COURT: You have a right to a jury of your peers
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14
     drawn from the residents of this district and that you would
15
     get help from Mr. Dreyer in selecting a jury?
16
              THE DEFENDANT: Yes, sir.
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              THE COURT: All right. Do you understand that in
     order to find you quilty, a jury verdict would have to be
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19
     unanimous, that is, all 12 jurors would have to agree that
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     the Government has proven you guilty beyond a reasonable
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     doubt?
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              THE DEFENDANT: Yes, your Honor.
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              THE COURT: Do you understand that you could
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     subpoena or court order witnesses to come to court to testify
25
     in your behalf?
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THE DEFENDANT: Yes, sir. 1 2 THE COURT: And you're giving up that right? 3 THE DEFENDANT: Yes, sir. 4 THE COURT: And do you also understand that if you 5 were found quilty, you would have full appellate rights, 6 unlike the limited rights that you have with a guilty plea 7 and you could appeal the jury's verdict and any rulings I 8 make, to a higher court and you're giving up that right? 9 THE DEFENDANT: Yes, sir. 10 THE COURT: Okay. Do you understand that at trial you have the right to face or confront your witnesses and 11 have Mr. Dreyer cross-examine them. That is, to challenge 12 13 their testimony about what the Government alleges happened in 14 this case and you would be able to see them face to face in a 15 courtroom and by pleading quilty, you're giving up that 16 right? 17 THE DEFENDANT: Yes, your Honor. 18 THE COURT: All right. Do you understand also, at 19 trial, that you would not be forced to take the stand or 20 testify? 21 THE DEFENDANT: Yes, your Honor. 22 THE COURT: All right and by not going to trial, 23 you're giving up that right. And you understand that by 24 entering this plea, there will be no trial and you're giving

up all these rights, including all those listed on the

acknowledgement of rights form that I just told you about?

THE DEFENDANT: Yes, your Honor.

THE COURT: All right, now, in order to prove you guilty in this case, the Government would have to prove the following elements beyond a reasonable doubt. Let me just go over those with you.

The Government would have to prove that you intended to commit the crime of illegally possessing a controlled substance. In this case, a mixture or substance containing a detectable amount of Oxycodone and Fentanyl and that thereafter, you did an act constituting a substantial step toward the commissions of that crime, which strongly corroborates your criminal intent. That's what the Government would have to prove.

THE DEFENDANT: Yes, your Honor.

THE COURT: All right. Now, Ms. Lunkenheimer, could you summarize the facts that you would introduce to prove Mr. Patterson's guilt?

MS. LUNKENHEIMER: Yes, if this case were to proceed to trial, the Government would introduce evidence through witnesses and other documents, which would establish the following. That on September 9, 2011, the defendant met with an individual cooperating with the Government, inside a building on the Boeing Company's Ridley Park, Pennsylvania campus and they went into a back room. There, the defendant

bought five placebo Oxycontin 40 milligram tablets and three placebo Actiq 1200 microgram lollipops from the cooperating individual for \$160. That is Count 1.

As to Count 2, on September 23, 2011, the defendant

As to Count 2, on September 23, 2011, the defendant again met with the cooperator, again inside of a building on the Boeing campus and the defendant bought five placebo

Oxycontin 40 milligram tablets and again three placebo Actiq

1200 microgram lollipops for \$160.

And on September 26, 2011, the defendant, a third time met with the cooperating individual inside of a building on the Boeing campus and there, the defendant bought three 40 milligram Oxycontin and one 80 milligram Oxycontin tablet and three Actiq 1200 microgram lollipops from the cooperating individual for \$160.

THE COURT: All right, Mr. Patterson, did you hear Ms. Lunkenheimer's summary of the facts?

THE DEFENDANT: Yes, sir.

THE COURT: Is that what happened?

THE DEFENDANT: Yes, sir.

THE COURT: Did you do what she said you did?

THE DEFENDANT: Yes, I did.

THE COURT: All right. So would you now like to change your plea to guilty?

THE DEFENDANT: Yes, sir.

THE COURT: All right, would you please stand and

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     we'll have the clerk take your plea.
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              COURT CLERK: Michael Patterson, you have been
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     charged in Criminal Indictment Number 11-582, in violation of
 4
     21, USC, Section 846, attempted possession of Oxycodone and
 5
     Fentanyl. How do you plead, quilty or not quilty?
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              THE DEFENDANT: I plead guilty.
 7
              THE COURT: All three counts?
 8
              THE DEFENDANT: Yes, sir.
 9
              THE COURT: Okay, thank you. You can be seated,
10
     sir.
11
              THE DEFENDANT:
                              Thank you.
              THE COURT: Now, I know you've been charged by
12
13
     information, sir. Do you understand that -- does he have a
14
     right to a Grand Jury indictment?
15
              MS. LUNKENHEIMER: Your Honor, he does not.
              THE COURT: Okay, so I don't have to go over that.
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17
     All right, I find that Mr. Patterson is fully alert and
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     competent and capable of entering an informed plea.
19
     plea is knowingly and voluntarily made and supported by an
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     independent basis in fact containing each of the essential
     elements of the offenses that he's pled quilty to.
21
22
     Therefore, I accept Mr. Patterson's quilty plea and he is now
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     adjudged guilty of all three counts in the information.
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              Is there anything I missed, Ms. Lunkenheimer?
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              MS. LUNKENHEIMER: No, your Honor.
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              THE COURT: Mr. Dreyer?
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              MR. DREYER: No, your Honor.
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              THE COURT: All right, I'm going to -- I have the
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     probation officer here. I'm going to order a pre-sentence
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             Sentencing, do you have conflicts with sentencing?
     I was going to do it in approximately three months, unless
 6
 7
     somebody has a conflict.
 8
              MS. LUNKENHEIMER: I do not.
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              MR. DREYER: No, your Honor.
10
              THE COURT: How is Monday, June 18th?
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              MS. LUNKENHEIMER: That should be fine for the
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     Government.
13
              MR. DREYER: Fine, your Honor.
14
              THE COURT: Mr. Dreyer?
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              MR. DREYER: Fine, your Honor.
              THE COURT:
16
                          9:30.
              MR. DREYER: Terrific.
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18
              THE COURT: Or I can do it at any time if you
19
    prefer.
              MR. DREYER: 9:30 is fine.
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21
              THE COURT: Does that work for you, Mr. Patterson?
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              THE DEFENDANT: Oh, absolutely.
23
              THE COURT: Okay. All right, so we'll set
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     sentencing for June 18th at 9:30 a.m.
25
              Mr. White, I believe?
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21 MR. WHITE: Yes, your Honor. 1 2 THE COURT: Are you going to be doing the 3 pre-sentence report? 4 MR. WHITE: Yes, your Honor. 5 THE COURT: Okay, so, Mr. Patterson, you and Mr. 6 Dreyer will have to sit down with the probation officer and 7 give him some information and that will help me get a better 8 picture of your life and you know, what you're like as a person, aside from this incident. And that will assist me in 9 10 formulating what the appropriate sentence will be. So I urge 11 you to cooperate with the probation officer. 12 THE DEFENDANT: Yes, sir. 13 THE COURT: All right, anything else we have to do? 14 MS. LUNKENHEIMER: Your Honor, only that the 15 Government is not asking for any change in the defendant's bail conditions. 16 17 THE COURT: Excellent. 18 I have received a report from Mr. Meissler and he 19 advised me that after a few problems at the beginning, with 20 marijuana use, you've been in treatment, you'll been fully 21 compliant and they're very pleased with your performance. 22 THE DEFENDANT: Right, the --23 THE COURT: Is that right? 24 THE DEFENDANT: Yes, sir.

MR. DREYER: Yes, your Honor, no problems.

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              THE COURT: Is that right?
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              MR. MEISSLER: The report is from Officer Reyes,
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     actually, not myself.
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              THE COURT: Pardon me?
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              MR. MEISSLER: The report is from Officer Reyes.
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              THE COURT: Officer Reyes, that's right, that's
 7
     right.
 8
              MR. DREYER: Your Honor, may I approach and hand up
     the original document?
 9
10
              THE COURT: Yes, sure, Mr. Dreyer, thank you.
11
              MR. DREYER: Thank you.
12
              THE COURT: Anything else, Mr. Dreyer?
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              MR. DREYER: No, your Honor.
14
              THE COURT: All right, sir, you're going to remain
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     on bail and I'll see you in June after the pre-sentence
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     report is prepared.
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              MS. LUNKENHEIMER: Thank you, your Honor.
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              THE COURT: Ms. Lunkenheimer, thank you.
19
              Thank you, Mr. Dreyer for --
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              MR. DREYER: Thank you, your Honor. Good afternoon,
21
     sir.
22
              THE COURT: Have a good day, sir.
23
              (Proceeding adjourned 3:29 o'clock p.m.)
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## CERTIFICATION

I hereby certify that the foregoing is a correct transcript from the electronic sound recording of the proceedings in the above-entitled matter.

s:/Geraldine C. Laws, CET Laws Transcription Service

Dated 9/19/12